



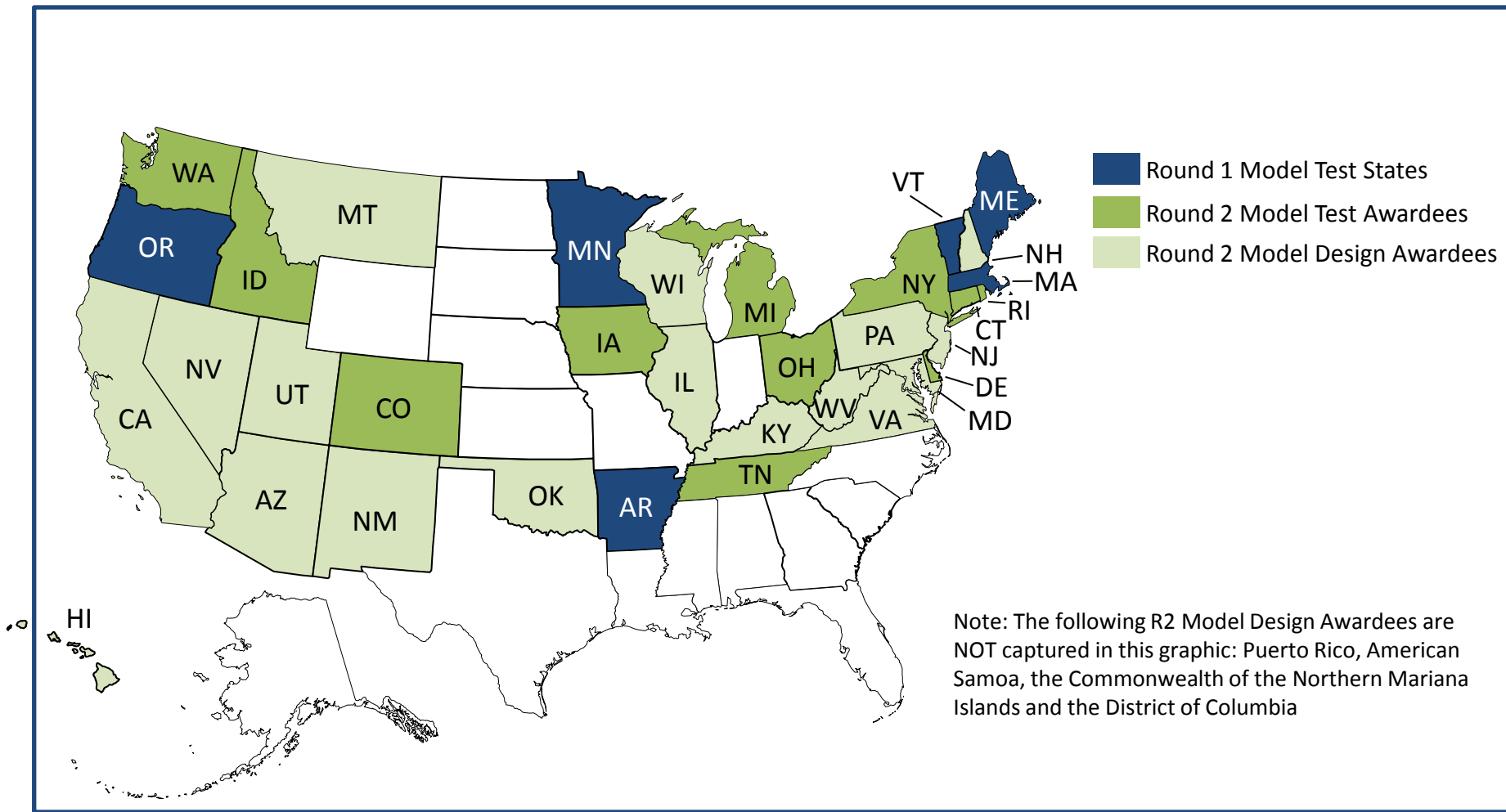
State Innovation Models Initiative

Round 2 Model Design Awardees: First Week Webinar
February 5, 2015

Agenda

- Roll Call
- SIM Objectives
- Team Introduction
- Program Start Up
- Model Design Development Guidance
 - Companion workbook
- Future Webinars
- Questions

SIM Round 2 Awardees – Roll Call



State Innovation Model (SIM)

CMS is testing the ability of state governments to utilize policy and regulatory levers to accelerate health care transformation



Better Care



Smarter Spending



Healthier People

The State Innovation Model Team Introduction

- State Innovation Group Deputy Director: CDR Fran Jensen, MD, MS
- SIM Team Lead: Clare Wrobel, MHSA

SIM Project Officers

Allison Pompey, DrPH, MA	Katherine Griffith, MHS
Bridget Harrison, MAP	Leah Nash, MPH
Erick Carrera, JD	Patricia Simino Boyce, PhD, RN
Fran Kelleher, PhD, MBA	Richard Jensen, MPP
Janet Heinrich, DrPH, RN	Sheetal Shah, MPH
Jessica Roach, MPH	Zoe Hruban, MHSA
Joshua Traylor, MPH	

Introducing the SIM Project Officers



State Innovations Group
January 2015

Key Documents for Starting the Model Design Development

- Funding Opportunity Announcement
- Final Application Submission: Program Narrative, Budget Narrative and SF 424
- Notice of Grant Award
 - Terms & Conditions
- Model Design Development Guidance
- Companion Workbook

Model Design Development Guidance

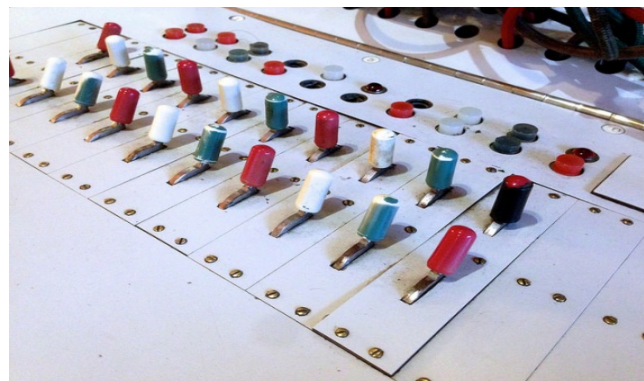
Major Sections:

- Section I: Model Design Deliverables (required work products)
 - Timeline
 - Project Milestones & Deliverables
- Section II: Establishing the SIM Model Design Project (helpful details)
 - Organization
 - Developing the SIM Project Plan
 - Active Transformation Design Development
 - Developing Health Care Delivery and Payment Transformation Models
- Companion Excel Workbook (project management templates)

Model Design Goals

To support states in using all of the policy and regulatory levers available at the state level to engage stakeholders including:

- State agencies
- Payers
- Providers
- Consumers
- Regional and local resources



to move current health care payment methodologies from volume-driven fee-for-service to a health system where 80% of all payments to providers are based on methodologies that reward value -- quality and cost effectiveness.

Timeline: Project Milestones & Deliverables

(I.B, p 4-5)

Due Date	Deliverable
February 28, 2015	Updated Operational Plan
March 30, 2015	Stakeholder Engagement Plan
April 22nd & 23rd, 2015	NGA / SIM In Person Convening, Baltimore, MD
May 30, 2015	Quarterly Progress Report
August 30, 2015	Quarterly Progress Report
November 30, 2015	Quarterly Progress Report
December 30, 2015 (Optional)	Full Innovation Plan Draft
January 31, 2016	Final State Health System Innovation Plan
April 30, 2016	Final Progress Report

Revised Operational Plan

(I.C.1, p. 5)

- All Model Design awardees must submit an updated Operational Plan within one month of the start of the project period, as stated on p. 31 of the FOA.
- The updated Operational Plan should take into account this guidance to provide a proposed project timeline with milestones, including dates by which the awardee will submit drafts of each component.

Stakeholder Engagement Plan

(I.C.2, 6)

Stakeholders from throughout the state should be represented in at least the following areas:

- State government agencies (e.g. Governor’s office, health, insurance, social services, mental health & substance abuse, developmental disabilities, office on aging, education, transportation, housing, tribal affairs, parks & recreation, labor and other relevant offices)
- Regional and local government organizations (e.g. local public health departments, tribal representatives if applicable)
- Providers (e.g. hospitals, physicians, LTC organizations, ancillaries)
- Payers (e.g. commercial insurance companies, self-funded employers, Medicaid)
- Community organizations
- Patient advocacy organizations

Stakeholder Engagement Plan Required Information (1.C.2, p. 6 continued)

- An initial timeline for stakeholder engagement activities
- Describe how stakeholders will be engaged on an ongoing basis
- Describe what role identified stakeholders will play in the development of the Innovation Plan
- Demonstrate that representatives with appropriate subject matter expertise and practical experience are participating
- Provide evidence that the purpose of stakeholder activity will be communicated to those involved
- Demonstrate that the method of engagement provides sufficient time and process for meaningful input
- Explain how stakeholder input will be developed into usable information to shape decisions

Quarterly Progress Reports

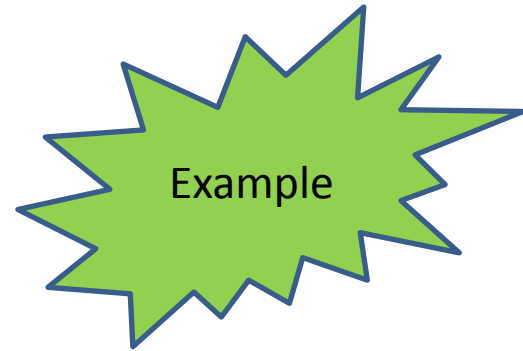
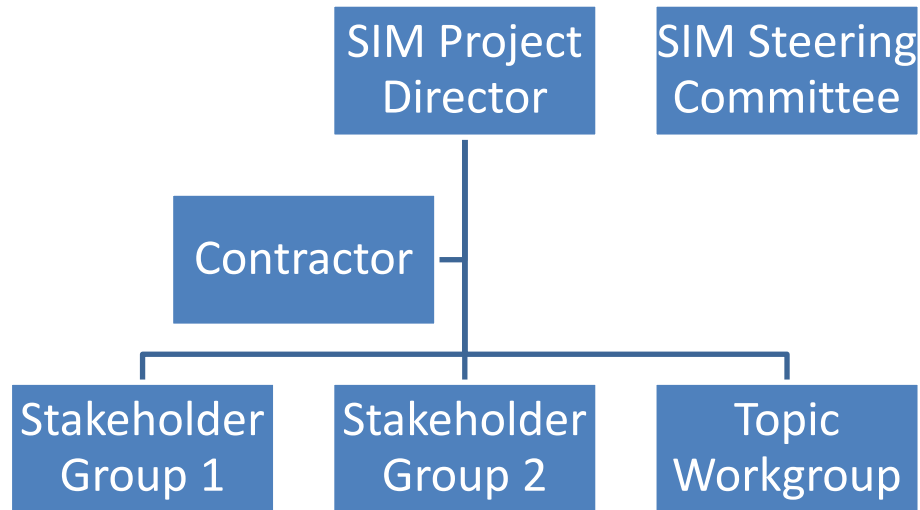
(I.C.3, p. 7 and I.B p. 5)

- Quarterly Progress Reports (QPRs) are due 30 days after the end of each quarter of the Model Design award performance period
- Additional guidance will be provided about the required content and format of the QPR submissions
- Milestone and draft submissions of certain components of the Innovation Plan should accompany the progress reports

Q1 Quarterly Progress Report	May 30, 2015	<ul style="list-style-type: none">• Quarterly Progress Report• Population Health Plan (Draft)• Driver Diagram (Draft)
Q2 Quarterly Progress Report	August 30, 2015	<ul style="list-style-type: none">• Quarterly Progress Report• Draft Value-based Health Delivery and Payment Methodology Transformation Plan
Q3 Quarterly Progress Report	November 30, 2015	<ul style="list-style-type: none">• Quarterly Progress Report• Health Information Technology Plan (Draft)• Operational & Sustainability Plan (Draft)

Developing Your Model Design Organizational Structure

(II.A, p. 9)



Defining Decision Makers and Process for Making Decisions:

- Communication Protocols
- How input from many people will be gathered
- How to address differences of opinion regarding the best health care delivery models
- A decision making process that arrives at a recommendation to be included in the Innovation Plan

Developing Your Design Project Plan (II.B, p. 11)

SIM Project Activity Accountability Matrix						
Number	Activity	Lead Accountable Position	First name	Last Name	Contact Information	
					email:	Phone
1	Planning Phase	SIM Project Director				
1.1	Develop Project Plan	Executive Sponsor & SIM Project Director				
1.2	Establish Communication and Operating Protocols with CMMI, State staff and Stakeholders	SIM Project Director				
1.3	Define Org Structure, Staffing and Budget	Executive Sponsor & SIM Project Director				
2	Identify State Levers to Transform Health care	SIM Project Manager and State Executive Steering Committee				
3	Identify Population Health Gaps	Director of Public Health				
4	Engage Stakeholders	SIM Project Director & Medical Officer				
5	Develop Driver Diagram	SIM Project Director				
6	Decide Delivery System Transformation Model(s)	Executive Steering Committee & Stakeholders				
7	Define Value-based Payment Methods to Support Delivery Model(s)	Executive Steering Committee & Stakeholders				
8	Define Health Quality /Performance Measures	Director of Health Care Quality				
9	Define Health Information Technology Needed for Model	Director of HIT				
10	Define Health care Staffing / Workforce Model	Medical Director				
11	Write Draft of SHSIP	SIM Project Director				
12	Gain consensus/approval for SHSIP	SIM Project Director				
	Submit final SHSIP	SIM Project Director & Executive Sponsor				

Developing Your Design Project Plan (II.B.2,p. 12)

SIM Model Design Project Gantt Chart			Q1			Q2			Q3			Q3			Due
Number	Activity	Lead Accountable Position	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Date
1	Planning Phase	SIM Project Director													
1.1	Develop Project Plan	SIM Project Director													
1.2	Establish Communication and Operating Protocols with CMMI, State staff and Stakeholders	SIM Project Director													
1.3	Define Org Structure, Staffing and Budget	Executive Sponsor & SIM Project Director													
2	Identify State Levers to Transform Health care	SIM Project Manager and State Executive													
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11	Write Draft of SHSIP	SIM Project Director													
12	Gain consensus/approval for SHSIP	SIM Project Director													
	Submit final SHSIP	SIM Project Director & Executive Sponsor													

A Programmatic Budget (II.B.3, p. 13)

SIM Model Design Project Programmatic Budget						
	Activity	Budget	Q1	Q2	Q3	Q4
1	Planning Phase	\$\$				
1.1	Develop Project Plan					
1.2	Establish Communication and Operating Protocols with CMMI, State staff and Stakeholders					
1.3	Define Org Structure, Staffing and Budget					
2	Identify State Levers to Transform Health care	\$\$				
3	Identify Population Health Gaps	\$\$				
4	Engage Stakeholders	\$\$				
5	Develop Driver Diagram	\$\$				
6	Decide Delivery System Transformation Model(s)	\$\$				
7	Define Value-based Payment Methods to Support Delivery	\$\$				
8	Define Health Quality /Performance Measures	\$\$				
9	Define Health Information Technology Needed for Model	\$\$				
10	Define Health care Staffing / Workforce Model	\$\$				
11	Write Draft of SHSIP	\$\$				
12	Gain consensus/approval for SHSIP	\$\$				
	Submit final SHSIP	\$\$				
	Total	\$\$				

The Required Budget (II.B.3, p. 13)

SF 424 Budget Categories						
6	Object Class Categories	Budget	Q1	Q2	Q3	Q4
	a. Personnel					
	B. Fringe Benefits					
	c. Travel					
	d. Equipment					
	e. Supplies					
	f. Contractors					
	g. Construction					
	h. Other					
	i. Total Direct Charges (sum of 6a-6h)					
	j. Indirect Charges					
	TOTALS					

Developing Your Model Design Project Plan

Additional project planning resources:

- Weekly or bi-weekly calls with your CMMI Project Officer
- Access technical assistance as needed
- Review State Health Care Innovation Plans developed by Round One Model Design awardees
- Collaborate with your peer Model Design States

Design for Delivery & Payment Transformation (II.C.3, p. 15)

Identifying State Levers for Health Care Transformation

- Public health departments (state, county, or local)
- Insurance Commission and health insurance regulations
- Employee benefits for public employees
- Medicaid and the Children's Health Insurance Program
- Insurance Marketplaces and regulation of Qualified Health Plans
- Certificate of Need regulations
- Professional licensure / re-licensure standards
- Hospital, SNF and other institutional quality regulations
- Price and quality transparency initiatives
- State antitrust laws and medical malpractice laws
- Educational programs for health and allied professionals
- Housing, transportation, labor, environment, agriculture and education departments are examples of state departments that may influence health status
- The prison health system
- The Legislature and its committees that impact health

Develop Plan for Improving Population Health (II.C.4, p. 15-16)

- Identify gaps in access and disparities in the health status of state residents.
- Leverage and build upon interventions and strategies included in an existing public health State Health Improvement Plan;
- Create an inventory of the current efforts to advance the health of the entire state population, including efforts to integrate public health and health care delivery;
- Leverage existing health care transformation efforts to advance population health;
- Include a data-driven implementation plan that identifies measurable goals, objectives and interventions that will enable the state to improve the health of the entire state population.

Technical Assistance from CDC is available for population health planning

Design for Delivery & Payment Transformation (II.C.6, p. 16 and II.D, p. 19)

Develop Delivery System Transformation Plan

- Establishing the Baseline Health Care Delivery System Landscape
- Engage stakeholders in discussions regarding ideal state
 - Define the range of care delivery models, their goals, impacts and advantages/disadvantages (e.g. PCMH, ACOs)
 - Define the range of social determinants of health
- Select one or more health delivery model(s) for your transformation
- Define the range and number of health care professionals and organizations involved

Design for Delivery & Payment Transformation

(II.D.2, p. 20)

Develop a plan where over 80% of payments to providers from all payers are in fee-for-service alternatives that link to payment to value

- Define a value-based payment methodology to support the delivery model(s)
- Define the number of providers and beneficiaries impacted
- Defining the infrastructure needed to support the transformation and model
 - *HIT/HIE needs*
 - *Workforce and training Needs*
- Coordination with Federal, HHS, CMS, and local Initiatives

The Final Deliverable: A State Health System Innovation Plan (Section I.C.8, p. 8)

Your Innovation Plan must include sections on each of the following topics as specified in the FOA:

- Description of State Health Care Environment
- Report on Stakeholder Engagement and Design Process Deliberations
- Health System Design and Performance Objectives
- Value-Based Payment and/or Service Delivery Model
- Plan for Health Care Delivery System Transformation
- Plan for Improving Population Health
- Health Information Technology Plan
- Workforce Development Strategy
- Financial Analysis
- Monitoring and Evaluation Plan
- Operational Plan

Writing the Innovation Plan (I.C.8, p. 8-9)

- A best practice would be to incorporate, for each component:
 - The state’s strategy to advance the health of the entire population as part of the health care transformation efforts;
 - A description of the state regulatory and policy levers available and any federal waiver or state plan amendment requirements and their timing to enable key strategies for transformation;
 - The associated driver diagram defining the state aims, primary and secondary drivers;
 - A health care delivery system transformation model(s) and value-based payment methodology;
 - Quality and performance measures to be developed or adopted and monitored in the model;
 - A description of how the plan aligns with other federal, state, regional and local innovation models; and
 - How the transformation will be organizationally and financially sustained.

Model Design: Upcoming Webinars

1. Guidance for SIM Round 2 Model Design awardees

Thursday, February 5th, 4:00-5:00 pm EST

2. Introduction to Technical Assistance Team (NORC, CDC & ONC)

Thursday, February 12th, 3:30-5:00 pm EST

3. Introduce Round 2 Model Design SIM Teams

Thursday, February 19th, 4:00-5:00 pm EST

4. Training Sessions: Salesforce Technical Assistance site (TASC) and CMMI Collaboration Site

Multiple options:

Option #1: February 24th at 4:00 pm ET

Option #2: February 26th at 4:00 pm ET

Option #3: March 3rd at 4:00 pm ET

Option #4: March 5th at 4:00 pm ET

Questions?

Additional Questions

Contact assigned Project Officer, or
StateInnovations@cms.hhs.gov