

Updated 2021- MCO Data Report Log

Report No.	Report Title	Frequency	Time Frame	New Procurement Authority
100	RFP Annual Compliance			
105	Balance Sheet - Assets, Liabilities, and Equities	A	90 days after CY end	RFP 7.11.3.2
106	Statement of Revenue, Expenses and Equity	M, Q, A	30 days after the following month; 60 days after quarter-end; 90 days after CY	RFP 7.11.3.2
108	Medical Loss Ratio Report	A	within 12 months after CY	RFP 7.11.5.1 CFR 438.8 K,N
109	Independent Audit Report (CPA)	A	120 days after CY	RFP 7.11.3.1
200	Financial			
203	Very Low Birth Weight (VLBW) Submissions	OO		RFP 7.13.3.2
204	Maternity Kick Payment - SOBRA	OO		RFP 7.13.2.4
205	Retrocap Payment	M	1st business day of the month (2 months lag time)	RFP 7.13.6
206	Stop Loss Submissions	OO	<365 days of date of service	RFP 7.13.6.2
207	Excess Capitation	OO	<60 days of discovery	RFP 7.13.7
210	Third Party Liability	M	30 days after month-end	RFP 7.7.4.2
212	Disproportionate Share Hospital (DSH)	BA	mid-May mid-November	CFR 447.299
300	Program Integrity			
301	Listing of Contractor Officers and Directors	A	90 days after CY end or when change occurs	RFP 7.2.1.1
302	PE Provider Termination Report	M	by the 10th of the month	RFP 7.6.2.5.12.1 RFP 7.10.10.2.1
303	PE Provider List (Provider Enrollment)	M	by the 10th of the month	RFP 7.6.2.5.12.3 CFR 438.206
305	PE Related Party Transactions	A	90 days after CY end	RFP 7.2.2.4
306	PI Embezzlement and Theft Report	OO	<5d of discovery	RFP 7.10.2.5
307	PI FWA Provider Referral Report (Form)	OO	<10d of discovery <2d if fraud is suspected	RFP 7.10.5.6; RFP 7.10.5.3 RFP 7.10.10.2
308	PI FWA Recipient Referral Report (Form)	OO	<10d of discovery <2d if fraud is suspected	RFP 7.10.5.4; RFP 7.10.5.3 RFP 7.10.10.2
309	PI Provider Investigations List	M	by the 10th of the month	RFP 7.10.10.3 42 CFR 438.3; 42 CFR 438.608(A); 438.608(d) (3)
310	PI Recipient Investigations List	M	by the 10th of the month	RFP 7.10.10.3 42 CFR 438.3; 42 CFR 438.608(A); 438.608(d) (3)
311	PI Provider Preventable Conditions	M	by the 10th of the month	RFP7.7.4.2 RFP 7.10.10.6.3 42 CFR 447.26.
312	PI Fraud, Waste, Abuse (FWA) Overpayments	M	by the 10th of the month	42 CFR 438.608 (a)(2) ; 42 CFR 438.608(d)
313	PI Overpayments Related to Administrative Errors	M	by the 10th of the month	RFP 7.10.10.4 42 CFR 438.608 (a)(2) ; 42 CFR 438.608(d)
314	PI Attestation of Monthly Reports 309-313	M	by the 10th of the month	42 CFR 438.3; 42 CFR 438.608(A); 438.608(d) (3)
315	Comprehensive Compliance Plan Assessment Report	A	by 7/1	RFP 7.10.2.2
316	Compliance Plan Review Tool with Attachment 4	A	by 7/1	RFP 7.10.2.2.9
400	MICQA			
402	Network Adequacy Report	Q	45 days after quarter-end	RFP 7.6.3.3 42 CFR 438.206; 438.207
404	Summary Report of Cultural Competency Plan (CCP)	A	180 days after CY end	RFP 7.5.3.5
405	Federally Qualified Health Center (FQHC) Report	Q	45 days after quarter-end	RFP 7.4.2.8
407	Geographical Access Report	Q	45 days after quarter-end	RFP 7.6.3.3.2

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408	Recipient Change of Status			RFP 7.3.1.7.1 42 CFR 438.608(a)
413	Promotional Activities Report	BA	45 days after 1/1 and 7/1	RFP 7.4.5.2.6
414	Clean Claims Report	M	15th of the following month	RFP 7.7.1.5
416	CMS 416 EPSDT Report (XIX and XXI)	Q, A	Q: 45 days after quarter-end A: 60 Days after FFY	RFP 7.14.8
418	Pharmacy Rebate Claims Files	M	15th of the following month	RFP 7.4.2.11.9
419	Pharmacy Rebate Providers	M	15th of the following month	RFP 7.4.2.11.9
420	Pharmacy Rebate Claim Errors	M	15th of the following month	RFP 7.4.2.11.9
421	Case Management Report	M	15th of the following month	RFP 7.5.6.7.16 (also 3 new reports)
424	IMD 15-Day Report	M	15th of the following month	RFP 7.13.1.7.3
425	Internal Quality Assurance Program Applicant Data Report	A	90 days after CY end	RFP 7.9.2.5
426	Internal Quality Assurance Program Effectiveness Report	A	90 days after CY end	RFP 7.9.2.5
427	Single Case Agreement Report	M	by the 10th of the month	RFP 7.6.4.3
433	ABA (Applied Behavioral Analysis) Service Utilization	Q	45 days after quarter-end	Pending State LCB Audit Resolution
434	ABA (Applied Behavioral Analysis) Prior Auth	Q	45 days after quarter-end	Pending State LCB Audit Resolution
500	Hearings - Grievances and Appeals			
502	Subcontractor Enrollee Grievance Report	M, Q	10 business days following month-end and quarter end	RFP 7.8.10.5.4
503	Enrollee Appeal Resolution Report	M, Q	10 business days following month-end and quarter end	RFP 7.8.10.5.4
504	Provider Dispute Resolution Report	M, Q	10 business days following month-end and quarter end	RFP 7.8.10.5.4
506	Appeal Detail Report	M, Q	10 business days following month-end and quarter end	RFP 7.8.10.5.4
507	Notice of Action (NOA) Report	M, Q	10 business days following month-end and quarter end	RFP 7.8.10.5.4
600	EQRO			
602	Access to Care Monitoring (secret shopper)	A	90 days after CY end	RFP 7.6.3.10.4
606	Significant Change in Provider Network	OO	<1d	RFP 7.6.2.5.10
608	Subcontractor Monitoring Report		Upon Request by the DHCFP	RFP 7.2.2.8
700	Forms			
703	Member MC Disenrollment (Form)	OO		RFP 7.3.10.1.4 Attachment L
706	Provider Attestation v2 (Form)	OO		42 CFR 438.606
707	SED-SMI Consent (Form)	OO		RFP 7.4.7.5.6
708	SED-SMI Determination (Form)	OO		RFP 7.4.7.5.6
709	SED-SMI MC Disenrollment (Form)	OO		RFP 7.4.7.5.6
New Reports for RFP S1457 (Report templates are being created)				
TBD	Data Quality	Q	TBD	RFP 7.1.26.10.5
TBD	False Claims Act Attestation	A	TBD	RFP 7.10.2.2.10
TBD	Community Reinvestment	A	Within 3 months after CY end	RFP 7.11.7.5
TBD	Population Health Annual Strategy	A	Within ninety (90) Calendar Days of Contract execution and by January 31 of each Contract Year thereafter	RFP 7.5.2
TBD	Care Management	A	Within ninety (90) Calendar Days of Contract execution and by March 30 annually thereafter	RFP 7.5.6.4
TBD	Care Coordination	Q, A	TBD	RFP 7.5.6.6.5
TBD	Case Management	Q	TBD	RFP 7.5.6.7.11.3
TBD	Provider Call Center Performance Standards (affidavit)	M	TBD	RFP 7.6.6.2.2
TBD	Member Services Performance Standards (affidavit)	M	TBD	RFP 7.8.4.5.2

A: Annually; BA: Bi-Annually; M: Monthly; Q: Quarterly; OO: On Occurrence AD: Ad Hoc; <1d: Less than a day; 2d=fraud: Within 2 days if fraud is suspected.